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# **Nortel VPN Client Configuration — FIPS 140-2**

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## Preface

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This guide provides information about how to configure the Nortel VPN Client to operate in FIPS 140-2 compliant mode.

This guide describes the Nortel VPN Client only in the context of configuring it for FIPS. For more information about Nortel VPN Client software documentation, see *Nortel VPN Router Configuration — Client Version 7.01* (NN46110-306) 311644-K Rev 02.

## Before you begin

This guide is for network managers who are responsible for setting up and configuring the Nortel VPN Client for FIPS 140-2. This guide assumes that you have the following background:

- Experience with system administration
- Familiarity with network management
- Knowledge of FIPS concepts and procedures

## Text conventions

This guide uses the following text conventions:

- |                     |  |
|---------------------|--|
| angle brackets (<>) | Indicate that you choose the text to enter based on the description inside the brackets. Do not type the brackets when entering the command.<br>Example: If the command syntax is <b>ping</b> <ip_address>, you enter <b>ping 192.32.10.12</b> |
|---------------------|--|

<b>bold Courier text</b>	Indicates command names and options and text that you need to enter. Example: Use the <b>show health</b> command.
brackets ([ ])	Indicate optional elements in syntax descriptions. Do not type the brackets when entering the command. Example: If the command syntax is <b>show ntp [associations]</b> , you can enter either <b>show ntp</b> or <b>show ntp associations</b> .
<i>italic text</i>	Indicates new terms, book titles, and variables in command syntax descriptions. Where a variable is two or more words, the words are connected by an underscore. Example: If the command syntax is <b>ping &lt;ip_address&gt;</b> , <i>ip_address</i> is one variable and you substitute one value for it.
plain Courier text	Indicates command syntax and system output, for example, prompts and system messages. Example: File not found.
separator ( > )	Shows menu paths. Example: Choose Status > Health Check.

## Acronyms

This guide uses the following acronyms:

3DES	Triple DES
AES	Advanced Encryption Standard
AH	Authentication Header
DES	Data Encryption Standard
FIPS	Federal Information Processing Standards
HMAC	Hashing Message Authentication Code
HTTP	Hypertext Transfer Protocol
IP	Internet Protocol

IPsec	Internet Protocol Security
KAT	known answer test
MD5	Message Digest 5
NIST	National Institute of Standards and Technology

## Related publications

For complete information about installing and configuring the Nortel VPN Client, refer to the following publications (included on the FIPS software CD):

- Nortel VPN Client release notes
- Nortel VPN Router Configuration — Client
- Using Nortel Secure IP Services Gateways In FIPS Mode.

You can print selected technical manuals and release notes free, directly from the Internet. Go to [www.nortel.com/documentation](http://www.nortel.com/documentation), find the product for which you need documentation, and locate the specific category and model, or version, for your hardware or software product. Use Adobe Reader to open the manuals and release notes, search for the sections you need, and print them on most standard printers. Go to the Adobe Systems Web site at [www.adobe.com](http://www.adobe.com) to download a free copy of the Adobe Reader.

## How to get help

This section explains how to get help for Nortel products and services.

## Finding the latest updates on the Nortel Web site

The content of this documentation was current at the time the product was released. To check for updates to the latest documentation and software for Client, click one of the following links:

Link to	Takes you directly to the
<a href="#">Latest software</a>	Nortel page for <b>VPN Client</b> software located at:  <code>http://support.nortel.com/go/main.jsp?cscat=SOFTWARE&amp;resetFilter=1&amp;poid=10621</code>
<a href="#">Latest documentation</a>	Nortel page for <b>VPN Client</b> documentation located at:  <code>http://support.nortel.com/go/main.jsp?cscat=DOCUMENTATION&amp;resetFilter=1&amp;poid=10621</code>

## Getting help from the Nortel Web site

The best way to get technical support for Nortel products is from the Nortel Technical Support Web site:

[www.nortel.com/support](http://www.nortel.com/support)

This site provides quick access to software, documentation, bulletins, and tools to address issues with Nortel products. From this site, you can:

- download software, documentation, and product bulletins
- search the Technical Support Web site and the Nortel Knowledge Base for answers to technical issues
- sign up for automatic notification of new software and documentation for Nortel equipment
- open and manage technical support cases

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In North America, call 1-800-4NORTEL (1-800-466-7835).

Outside North America, go to the following web site to obtain the phone number for your region:

[www.nortel.com/callus](http://www.nortel.com/callus)

## Getting help from a specialist by using an Express Routing Code

To access some Nortel Technical Solutions Centers, you can use an Express Routing Code (ERC) to quickly route your call to a specialist in your Nortel product or service. To locate the ERC for your product or service, go to:

[www.nortel.com/erc](http://www.nortel.com/erc)

## Getting help through a Nortel distributor or reseller

If you purchased a service contract for your Nortel product from a distributor or authorized reseller, contact the technical support staff for that distributor or reseller.



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## New in this release

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The following sections details what is new in *Nortel VPN Client Configuration* — *FIPS 140-2*. (NN46110-510).

- [“Features](#)
- [“Other changes](#)

### Features

There are no new features in this release.

### Other changes

There are no other changes in this release.



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## Configuring FIPS mode

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The Nortel VPN client can run in normal operating mode or in FIPS operating mode. Version 7.11 has FIPS enabled by default. In FIPS operating mode, the Nortel VPN client meets all requirements for FIPS 140-2. (You can find publications for 140-2 and related information at the <http://csrc.nist.gov/cryptval/> URL. For the list of Nortel Networks security policies, click on Validation Lists in the left column of the page. For further information, see *Using Nortel Secure IP Services Gateways In FIPS Mode*.

Whenever you are using vendor products such as, for example, MSCAPI and RSA, you must ensure that those products are also FIPS certified. To check for the current information regarding those compliances, see <http://csrc.nist.gov/groups/STM/cmvp/documents/140-1/1401val2008.htm>.

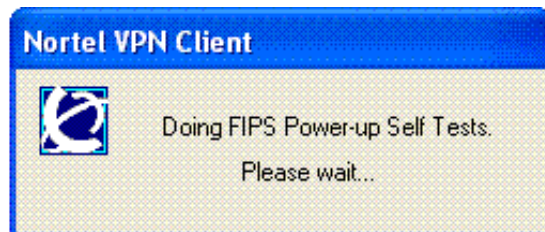
## Self tests

To prevent any secure data being released, it is important to test the cryptographic components of a security module to ensure that all components are functioning correctly. The client contains self-tests that are run during startup and periodically during operation.

## Initialization self tests

When you enable FIPS mode, the client performs startup self-tests (Figure 1).

**Figure 1** Power-up self test



The self tests take approximately 2 seconds on a typical machine (1.8 GHz), but could take as long as 16 seconds on a slow machine.

If any self test fails, the details of the failure are placed into the log and a message indicates a FIPS test failed (Figure 2). When you click on OK, the client exits.

**Figure 2** Self test failure



## Self integrity check

Loaded application modules (such as Extranet.exe) can only run from the installation directory. This is to validate that the module on disk is the same as the one that is currently running.

For driver files (ipsecw2k.sys and eacfilt.sys), the on disk file in the window's system32/drivers directory is verified. Windows loads these files from this location so that the load path check is not required.

## Known answer tests

The following KATs are performed by the client application during startup:

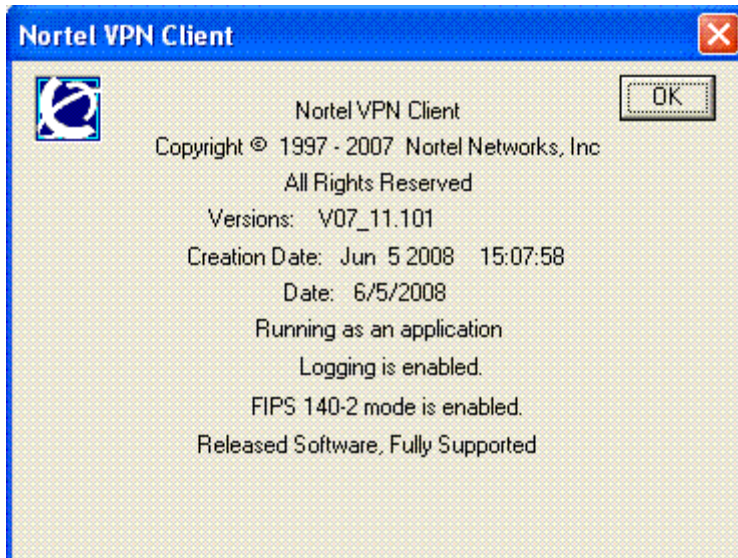
- 3DES
- AES(128 Bit)
- AES(256 Bit)
- SHA1
- HMAC-SHA1
- DH group 2 and 5
- PRNG

The driver runs its own KAT to verify the integrity of its encryption algorithms. If the test fails, no tunnels will be started.

## FIPS mode status

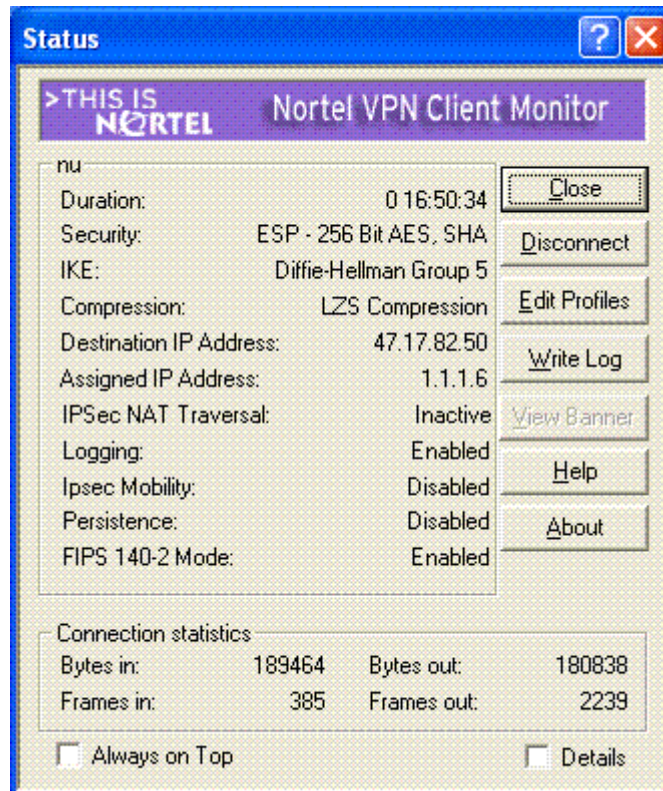
The status of FIPS mode (enabled or disabled) is displayed in two places. In the main dialog, it is displayed in Help About (Figure 3).

**Figure 3** Status in Help About



Once a tunnel has been established, it also displays in the status box (Figure 4).

**Figure 4** Status box



## Continuous random number generator (RNG) test

FIPS requires that the RNG be continuously monitored to ensure it returns changing values. If RNG fails, the tunnel will be torn down.

## Split tunneling mode

When FIPS mode is enabled, split-tunneling (both normal and inverse) is not allowed. If the server does send split tunneling routes during config mode, that information is ignored. The tunnel comes up but runs in mandatory tunneling mode. If this occurs, the following log entries are made:

```
Wed Dec 10 05:50:29 2008 | FIPS | W | Server is configured  
for split tunneling but that is not allowed in FIPS mode.  
Wed Dec 10 05:50:29 2008 | ConfMode | I | Mandatory  
tunneling enforced.
```

The Nortel gateway continues as though the client is performing split-tunneling. It drops any packets that it determines should not have been sent through the tunnel. Even though the tunnel is established, the expected connectivity may not be there.

It is recommended that you configure groups for FIPS users or the server for mandatory tunneling.

## Logging

Logging is mandatory in FIPS mode. The logging option under the Options, Log Sessions to File is checked to indicate that it is active and grayed out to prevent you from changing it.

The log contains the status of the FIPS mode and the results of the KAT and integrity checks.

```
Wed Dec 10 05:45:52 2008 | FIPS | I | FIPS 140-2 mode is
enabled.
Wed Dec 10 05:45:52 2008 | Isakmp | I | NVC Product Version
- V07_11.101
Wed Dec 10 05:45:52 2008 | Isakmp | I | Drivers' versions -
ipsecw2k.sys:7.11.0.101
; eacfilt.sys:7.11.0.101
Wed Dec 10 05:45:52 2008 | Isakmp | I | NVC executable
Version - 7.11.0.101
Wed Dec 10 05:45:52 2008 | Isakmp | I | Logging subsystem
initialized.
Wed Dec 10 05:45:52 2008 | Isakmp | I | Nortel VPN Client
Wed Dec 10 05:45:52 2008 | FIPS | I | FIPS 140-2: Hash
verification OK for file: C:\Program Files\Nortel\Nortel VPN
Client\extranet.exe
Wed Dec 10 05:45:52 2008 | FIPS | I | FIPS 140-2: Hash
verification OK for file: C:\Program Files\Nortel\Nortel VPN
Client\certal.dll
Wed Dec 10 05:45:52 2008 | FIPS | I | FIPS 140-2: Hash
verification OK for file:
C:\WINDOWS\system32\drivers\ipsecw2k.sys
Wed Dec 10 05:45:52 2008 | FIPS | I | FIPS 140-2: Hash
verification OK for file:
C:\WINDOWS\system32\drivers\eacfilt.sys
Wed Dec 10 05:45:52 2008 | FIPS | I | FIPS 140-2: Triple DES
KAT passed.
Wed Dec 10 05:45:52 2008 | FIPS | I | FIPS 140-2: AES (128
Bits) KAT passed.
Wed Dec 10 05:45:52 2008 | FIPS | I | FIPS 140-2: AES (256
Bits) KAT passed.
Wed Dec 10 05:45:52 2008 | FIPS | I | FIPS 140-2: SHA1 KAT
passed.
Wed Dec 10 05:45:52 2008 | FIPS | I | FIPS 140-2: HMAC-SHA1
KAT passed.
Wed Dec 10 05:45:52 2008 | FIPS | I | FIPS 140-2:
Diffie-Hellman Group 2 KAT passed.
Wed Dec 10 05:45:53 2008 | FIPS | I | FIPS 140-2:
Diffie-Hellman Group 5 KAT passed.
Wed Dec 10 05:45:53 2008 | FIPS | I | FIPS 140-2: PRNG KAT
passed.
Wed Dec 10 05:45:53 2008 | FIPS | I | FIPS 140-2: Eacfilt
```

```
driver HMAC-SHA1 KAT and Integrity test passed.  
Wed Dec 10 05:45:53 2008 | FIPS | I | FIPS 140-2: Ipsec  
driver Triple DES, AES(128 Bits and 256 Bits), SHA1,  
HMAC-SHA1 KAT passed.  
Wed Dec 10 05:45:53 2008 | Isakmpd | I | Session End  
Notification setup for XP :.
```

## Supported DH groups and ciphers

The proposals made by the client in FIPS mode are, in order:

- 1 DH group 5: AES256-SHA1, AES128-SHA1.
- 2 DH group 2: 3DES-SHA1, AES128-SHA1.

The AesDisabled setup.ini is supported. If you set it along with FIPS mode, the client only proposes the group 2-3DES transform.

The following are not supported when running in FIPS mode:

- Diffie-Hellman group 8
- DES and DH group 1
- 40-bit DES
- MD5
- IPSEC AH

When you are running in FIPS mode, these will not be proposed by the client. The client rejects any proposal from the server that includes one of the unsupported groups or algorithms.

## RSA and MSCAPI

The MSCAPI validates signatures when RSA certificates are used for authentication. To conform to FIPS, the client security policy states which versions of the Microsoft library have been FIPS-approved. The MSCAPI functions are provided by the rsaenh.dll library.

## Disabling FIPS mode

Nortel VPN client version 7.11 operates in FIPS mode by default. To disable this mode, you have to run the custom install with the option `NN_FIPSMODE=0`.

For information on how to customize this installation, refer to *Nortel VPN Router Configuration — Client Version 7.01* (NN46110-306) 311644-K Rev 02.

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